

1 **Photosynthetic and water transport strategies of plants along a tropical forest aridity**
2 **gradient: a test of optimality theory**

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42

43 Summary

44 (1) The research conducted, including the rationale

45 The direct effect of aridity on photosynthetic and water-transport strategies is not easy to discern
46 in global analyses because of large-scale correlations between precipitation and temperature. We
47 analyze tree traits collected along an aridity gradient in Ghana, West Africa that shows little
48 temperature variation, in an attempt to disentangle thermal and hydraulic influences on plant
49 traits.

50 (2) Methods

51 Predictions derived from optimality theory on the variation of key plant traits along the aridity
52 gradient are tested with field measurements.

53 (3) Results

54 Most photosynthetic traits show trends consistent with optimality-theory predictions, including
55 higher photosynthetic capacity in the drier sites, and an association of higher photosynthetic
56 capacity with greater respiration rates and greater water transport. Hydraulic traits show less
57 consistency with theory or global-scale pattern, especially predictions based on xylem efficiency-
58 safety tradeoff. Nonetheless, the link between photosynthesis and water transport still holds:
59 species (predominantly deciduous species found in drier sites) with both higher sapwood-to-leaf
60 area ratio (AS/AL) and potential hydraulic conductivity (K_p), implying higher transpiration, tend
61 to have both higher photosynthetic capacity and lower leaf-internal CO_2 .

62 (4) Conclusions

63 These results indicate that aridity is an independent driver of spatial patterns of photosynthetic
64 traits, while plants show a diversity of water-transport strategies along the aridity gradient.

65 **Plain language summary:** Along an aridity gradient in Ghana, West-Africa, we used optimality
66 theory to explain that aridity is an important driver of photosynthetic traits, independent of
67 temperature. Toward drier sites, plants have higher photosynthetic capacities per leaf area but
68 have fewer leaves. We also explain how plants arrange water transportation to support quicker

69 photosynthesis at drier sites. However, plants at the drier sites seem to have diverse combinations
70 of hydraulic traits to satisfy the need for photosynthesis. We reported surprising data-theory
71 inconsistency for some hydraulic traits along the aridity gradient where further research is
72 needed.

73 Introduction

74 Three key photosynthetic processes are frequently considered when seeking to understand plants
75 photosynthesis strategies: light availability and electron transport; aridity and water transport;
76 and CO₂ concentration and carboxylation (Farquhar *et al.*, 2001). Plants capacities in these
77 photosynthetic processes vary considerably along environmental gradients (Wang *et al.*, 2017a;
78 Bahar *et al.*, 2017; Yang *et al.*, 2019; Oliveras *et al.*, 2020). Recently, many efforts have been
79 made to propose universal rules to explain worldwide plant photosynthetic strategies, frequently
80 cited as ‘optimality theories’, which could serve as a basic theoretical framework for vegetation
81 carbon modelling and enable quantitative predictions of key photosynthetic traits (Franklin *et al.*,
82 2020; Harrison *et al.*, 2021).

83 One of the main challenges confronting these universal rules is to explain the ‘pure’ effect of
84 aridity on photosynthesis (Rogers *et al.*, 2017). Such challenges become particularly pressing in
85 the context of climate change as greater atmospheric dryness (water vapour deficit, VPD) is
86 predicted for most places (Neelin *et al.*, 2006; Grossiord *et al.*, 2020a; Bauman *et al.*, 2022),
87 which may strongly influence photosynthesis and hence the carbon cycle (Canadell *et al.*, 2021).
88 Although optimality theory has shown to successfully explain photosynthetic strategies on
89 multiple scales (Peng *et al.*, 2020; Dong *et al.*, 2020; Harrison *et al.*, 2021), in previous studies,
90 aridity was confounded with temperature, especially when VPD is used as a metric of aridity.
91 Temperature is a stronger driver of photosynthesis than aridity (Smith *et al.*, 2019; Peng *et al.*,
92 2021), but few studies have tried to disentangle aridity from temperature (Grossiord *et al.*,
93 2020a). To date, the optimality-theoretical expectation for the impact of aridity on plant traits
94 has not been summarized and tested. Most current earth systems models predict a negative
95 relationship between photosynthesis (denoted by CO₂ assimilation rate per leaf area, A_{area}) and

96 VPD simply due to the closing of stomata without incorporating the dynamics of photosynthetic
97 capacity (denoted by electron-transport capacity, $J_{\max 25}$ and Rubisco carboxylation capacity
98 standardized to 25 °C, $V_{\max 25}$) (Wang *et al.*, 2017a; Green *et al.*, 2020). On the contrary, a study
99 focusing on Amazonia argued that photosynthetic capacity is higher for leaves grown in dry
100 season which counteracts the reduced stomatal conductivity, leading to higher photosynthesis
101 under drier climates (Restrepo-Coupe *et al.*, 2013; Green *et al.*, 2020). Globally higher $V_{\max 25}$
102 was indeed found for plants grown in drier sites (Cernusak *et al.*, 2011; Peng *et al.*, 2021; Dong
103 *et al.*, 2022). Under experimental conditions, plants grown under low VPD show no difference in
104 CO_2 assimilation to plants grown under normal VPD (Cunningham, 2005).

105 Despite the need of incorporating the dynamics of photosynthetic capacity in models, the stand-
106 alone effect of aridity on photosynthesis adaptation still remains unclear. There are two particular
107 challenges. First, aridity can be confounded with temperature on a large spatial scale or temporal
108 scale (Grossiord *et al.*, 2020a). Second, optimality theory predicted higher V_{\max} and A_{area} under
109 higher VPD (Smith *et al.*, 2019) but it is unclear how plants in drier environments arrange water
110 transportation through xylem to support higher A_{area} . A comprehensive theoretical framework is
111 lacking to incorporate the effect of VPD on all leaf-level photosynthesis processes (light, water
112 and CO_2) with consideration of water delivery to leaves (Mencuccini *et al.*, 2019a).

113 Here, we examine a dataset of detailed traits measurements along an aridity gradient in West
114 African forests to disentangle the effect of aridity on photosynthesis from temperature and to
115 explain the effect with optimality theory. The key questions we address are: (1) do plants in drier
116 environments have higher photosynthesis rates and how do aridity and photosynthesis interact?
117 (2) If photosynthetic rates are higher in arid environments, as predicted by optimality theory,
118 how do plants arrange greater water transportation under greater atmospheric dryness? To
119 answer these questions, we adopted a theory-data comparison approach where we first review the
120 expectation of recent ‘universal’ theories and deduced 16 testable predictions (some of which
121 have previously been tested but with confounding results). We then examined the consistency
122 between each prediction and field measurement along the aridity gradient (Table 1). Consistency
123 would give field-observed patterns a mechanistic explanation and reinforce the stand-alone
124 impact of aridity on the corresponding trait, while inconsistency would imply weakness of the

125 theory and the possible effect of other environmental factors (like soil properties). Before closing
126 the paper, we summarize the consistency and inconsistency with an integrated theoretical
127 framework to address the ‘pure’ effect of aridity on photosynthesis.

128 **Review of Optimality theory**

129 ‘Optimality theory’ was developed recently with the assumption that plants can optimize
130 photosynthesis and minimize maintenance costs according to their living environments, which
131 provides a universal explanation of the variation of photosynthetic strategies under different
132 growing environments (Prentice *et al.*, 2014; Sperry *et al.*, 2017; Wang *et al.*, 2017b; Mencuccini
133 *et al.*, 2019a; Stocker *et al.*, 2020; Xu *et al.*, 2021). Although the above-cited studies have tested
134 the theories on global scales and along elevation gradients, discussion and validation of these
135 theories along aridity gradients, are still lacking. Therefore, we first review the implication of
136 such theories on plants photosynthetic strategies along aridity gradients.

137 As predicted by the ‘least-cost hypothesis’ (Wright *et al.*, 2001, 2003; Medlyn *et al.*, 2011;
138 Prentice *et al.*, 2014), plants in dry climates maximize the carbon return per molecule of water by
139 keeping stomata relatively closed. Thus, in drier sites, plants are expected to have a lower leaf
140 internal-to-external CO₂ ratio (c_i/c_a) and lower stomatal conductance (g_s). The ‘coordination
141 hypothesis’ (Beerling & Quick, 1995; Maire *et al.*, 2012; Walker *et al.*, 2014) assumes
142 equilibrium between Rubisco-limited photosynthesis rates (A_C) (depending on V_{cmax25} and c_i) and
143 electron transport-limited photosynthesis rates (A_J) (depending on J_{max25} and leaf absorbed
144 photosynthetic photon flux density, PPF) (see the quantitative expression in (Wang *et al.*,
145 2017b; Smith *et al.*, 2019; Stocker *et al.*, 2020)). To maintain such an equilibrium, plants in drier
146 sites are expected to have larger V_{cmax25} to compensate for the lower c_i . Otherwise, A_C would be
147 lower than A_J resulting in the waste of light (PPFD). To sum up, lower c_i but higher V_{cmax25} is
148 expected toward drier sites if J_{max25} stays constant (in which case A_J would be slightly lower due
149 to smaller c_i).

150 In reality, toward drier sites, it is common to see higher leaf-absorbed photosynthetic photon flux
151 density (I_{abs}) because of less cloud cover and more open canopies. Considering an additional
152 optimality criterion that J_{max25} is acclimated to I_{abs} (Smith *et al.*, 2019), supported by multiple

153 experiments (Björkman, 1981; Ögren, 1993), we would expect higher $J_{\max 25}$ and A_J in drier sites,
154 which further encourages higher $V_{\max 25}$ (see above paragraph). Higher $J_{\max 25}$ would give rise to
155 higher A_J , implying higher A_C following the ‘coordination hypothesis’. All the above would
156 lead to high leaf photosynthetic protein cost in dry sites, hence high leaf dark respiration (R_d),
157 and high transpiration stream maintenance cost (see below for transpiration), hence higher stem
158 respiration per leaf area ($R_{\text{stem_leaf}}$) (Prentice *et al.*, 2014). Note that $R_{\text{stem_leaf}}$ is stem respiration
159 per leaf area, different from the commonly reported stem respiration per stem area ($R_{\text{stem_stem}}$).
160 Some of the above predictions have been seen on global scale; for example, higher R_d has been
161 found in drier sites (Wright *et al.*, 2001; Atkin *et al.*, 2015) and higher assimilation rate has been
162 reported from drier sites (Cernusak *et al.*, 2011; Maire *et al.*, 2015; Peng *et al.*, 2021; Dong *et al.*,
163 2022).

164 It is worth noting that $V_{\max 25}$, g_s and c_i in this paper are discussed as an overall value for a forest
165 stand, disregarding diurnal variation and intraspecific variation (Stangl *et al.*, 2019; Han *et al.*,
166 2022). For instantaneous measurements, there is a positive correlation between A_{sat} (light-
167 saturated assimilation rate at 400 ppm), $V_{\max 25}$, g_s and c_i (Wright *et al.*, 2003; Fig.2 in Prentice *et*
168 *al.*, 2014), instead of the opposite trend of $V_{\max 25}$ and c_i/c_a discussed above regarding spatial
169 variation only.

170 Photosynthesis strategies predicted by the optimality theory above can be linked with stem
171 xylem water transportation strategies via stomatal behaviour, as given by Fick’s law,

$$172 \quad g_s = A_{\text{area}} / (c_a - c_i) \quad (1)$$

173 Where g_s is stomatal conductance ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), A_{area} is CO_2 assimilation rate per leaf area
174 ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), and leaf internal (c_i , ppm) and external (c_a , ppm) CO_2 concentration

175 We focus on daytime conditions that produce maximum rates of transpiration and photosynthesis,
176 when water loss through stomata must equal water transport through xylem (assuming no change
177 of stored water) (Brodribb *et al.*, 2002; Xu *et al.*, 2021):

$$178 \quad E/A_L = 1.6 g_s \text{VPD} / \rho = K_s \Delta\Psi_{\max} A_S/A_L / h \quad (2)$$

179 Where E/A_L is water transpired per leaf area surface ($\text{mol m}^{-2} \text{s}^{-1}$), VPD_{la} is leaf-to-air VPD,
180 P_{atm} is atmospheric pressure (Mpa), K_s is sapwood-specific hydraulic conductivity ($\text{mol m}^{-1} \text{s}^{-1}$
181 MPa^{-1}); A_S/A_L is the ratio of sapwood to leaf area ($\text{m}^2 \text{m}^{-2}$), $\Delta\Psi_{max}$ is the maximum decrease in
182 water potential from soil to leaves (MPa), h is the transpiration stream path length (m), roughly
183 equivalent to plant height, $1.6 * g_s * VPD_{la} / P_{atm}$ denotes ‘water loss through stomata’, and K_s
184 $\Delta\Psi_{max} A_S/A_L / h$ denotes water transport through xylem.

185 Combining the above two equations we obtain a link between water transportation and
186 photosynthesis:

$$187 \quad K_S \Delta\Psi_{max} A_S/A_L / h = 1.6 VPD_{la} A_{area} / (c_a - c_i) / P_{atm} = E/A_L \quad (3)$$

188 Which could be rearranged to focus on carbon gain:

$$189 \quad A_{area} = K_S \Delta\Psi_{max} A_S/A_L / h / 1.6 / VPD_{la} * (c_a - c_i) * P_{atm} \quad (4)$$

190 Note that Equation 3 was presented on whole-tree level but was tested using shoot level traits
191 (Xu *et al.*, 2021), as well as in this study. Here we disregard diurnal or seasonal variation.
192 Relationships could be very different at other time scales (Mencuccini *et al.*, 2019a).

193 In drier sites with higher VPD, despite smaller g_s , there should inevitably be a larger E/A_L
194 (Granier *et al.*, 1996) and more negative $\Delta\Psi_{max}$ (Gleason *et al.*, 2013); therefore smaller
195 maximum tree height (Equation 3), and more negative turgor loss point (TLP, Mpa) in drier sites
196 to increase hydraulic resistance (note that TLP must be more negative than $\Delta\Psi_{max}$) (Ryan &
197 Yoder, 1997; Bartlett *et al.*, 2012). Equation 3 implies that in drier sites with high VPD, plants
198 require a larger A_S/A_L and/or larger K_s in order to support the same amount of photosynthesis
199 with enhanced transpiration. Following the xylem safety–efficiency trade-off (Manzoni *et al.*,
200 2013; Gleason *et al.*, 2016; Bittencourt *et al.*, 2016; Grossiord *et al.*, 2020b), plants at drier sites
201 would be expected to have lower hydraulic conductivity (K_s). Although arguments against this
202 trade-off exist (Gleason *et al.*, 2016; Körner, 2019; Liu *et al.*, 2021), here we present testable
203 hypotheses expected by the trade-off. At dry sites, lower hydraulic conductivity is often
204 associated with smaller vessel diameter, higher vessel density and higher wood density (Poorter
205 *et al.*, 2010; Schuldt *et al.*, 2013; Hoeber *et al.*, 2014). Such patterns have been observed along

206 an Australian aridity gradient (Gleason *et al.*, 2013; Pfautsch *et al.*, 2016), but no effect of aridity
207 on vessel diameter was reported elsewhere (Olson & Rosell, 2013; Olson *et al.*, 2014). Plants in
208 drier sites should have increased hydraulic safety - more negative TLP and more negative P50
209 (Hacke *et al.*, 2001; Martínez-Vilalta *et al.*, 2009; Gleason *et al.*, 2013; Togashi *et al.*, 2015; Liu
210 *et al.*, 2019; López *et al.*, 2021). In short, toward drier sites, we would expect to see, higher
211 A_S/A_L and more negative TLP. The safety-efficiency trade-off implies lower K_S , smaller vessel
212 diameter, higher vessel density and higher wood density.

213 The trade-off between K_S and A_S/A_L is also embedded in the variance of traits in equation 3. K_S
214 and A_S/A_L could vary by two orders of magnitude (100-fold variation) (Mencuccini *et al.*, 2019b)
215 on a global scale, while c_i/c_a and A_{area} vary much less (c_i/c_a : 2 fold; A_{area} : 10 fold) (Wright *et al.*,
216 2004; Wang *et al.*, 2017b). This leads to a trade-off between K_S and A_S/A_L (i.e. $K_S \times A_S/A_L$
217 should vary less than either of them). However, given that there are also variations of c_i/c_a , A_{area} ,
218 h and $\Delta\Psi_{max}$, it is possible that different species range along a spectrum from high A_{area} and
219 E/A_L to low A_{area} and E/A_L while always satisfying equation 3 (Prentice *et al.*, 2014).

220 In short, the above review leads to hypotheses that plants in drier (normally also brighter) sites
221 tend to develop a photosynthesis strategy with less stomatal conductance and lower c_i , stronger
222 photosynthetic capacities (larger V_{cmax25} , J_{max25} and A_{area}) with more maintenance cost (higher R_d
223 and R_s) and larger transpiration per leaf area which the water transport system would adjust to
224 with higher A_S/A_L , lower K_S , lower tree height and more negative TLP. We break the above
225 prediction down into 16 testable hypotheses (Table 1) and test each of them along a forest aridity
226 gradient.

227

228 **Materials and Methods**

229 **Study sites - the aridity gradient**

230 This study presents and analyses physiological traits data collected from seven one-hectare forest
231 and savanna plots distributed along a wet-dry gradient across three sites, Ankasa (ANK, moist

232 rainforest), Bobiri (BOB, semi-deciduous forest) and Kogyae (KOG, dry forest and mesic
233 savanna), in Ghana, West Africa (Figure S1, S2) (Moore *et al.*, 2018; Oliveras *et al.*, 2020), as
234 part of the Global Ecosystem Monitoring (GEM) network (Malhi *et al.*, 2021). These sites share
235 very similar mean annual temperature but span a steep gradient of aridity (Figure 1), which
236 provided a “natural laboratory” to disentangle the hydraulic aspect of plant traits variation from
237 temperature. Light increase toward drier sites (Table S 1). There is no seasonal variation in
238 temperature. Two rain seasons (Figure S9 S10) in all study sites occurred in similar months but
239 the total amount of precipitation increases from dry to wet site. Latitude, longitude, number of
240 species and more information are provided in Table S 1.

241 Although one-hectare plots (e.g. BOB-02) within the same site (e.g. BOB) share very similar air
242 temperature and precipitation, they can differ in terms of belowground water supply due to
243 small-scale variations in soil properties and topography (Table S 1). Along the aridity gradient,
244 there are also variations in soil and vegetation type, with vegetation seasonality and
245 deciduousness increasing considerably towards drier sites. More information about the soil
246 properties and climate of all three sites can be found in (Domingues *et al.*, 2010; Chiti *et al.*,
247 2010; Moore *et al.*, 2018). Moreover, the swampy rainforest (ANK03) is partly inundated during
248 the wet season but not ANK01, which is located on a hill and never inundated. From KOG02
249 (dry forest), KOG04 to KOG05 (savanna), forest plots become more deciduous with a smaller
250 number of trees (Table S 1). Nonetheless, many species are ‘semi-deciduous’ which shed only
251 part of the canopy in the dry season. Within any site, there are many common species between
252 plots but species composition (e.g., top five abundant species) could still be very different. There
253 are almost no common abundant species between the three sites (ANK, BOB and KOG).

254 **Aridity indices and soil moisture**

255 Although the Introduction focuses on VPD, we only have one meteorological station at each site
256 which could not tell VPD difference between plots. We thus provide other indices of aridity. At
257 site scales, we provide Maximum Cumulative Water Deficit (MCWD) and Aridity index (the
258 ratio of annual potential evapotranspiration (PET) to mean annual precipitation (MAP)). At plot
259 scale, we reported not only measured surface (12 cm depth) soil volumetric water content, but

260 also hydraulic simulations on plot scales with SPLASH v2.0 (Sandoval & Prentice, 2020). This
261 model requires three sets of input data: (1) field observed climate data at site scale during 2011-
262 2016 (2) soil properties measured following the RAINFOR protocols (Quesada *et al.*, 2010); (3)
263 terrain data: root zone was assumed 2m, while upslope drainage area, slope inclination and
264 orientation were extracted from a global dataset (Yamazaki *et al.*, 2019). We considered two
265 model output indices: the relative soil moisture saturation (Θ), defined as the volumetric water
266 content (θ) normalized by the volumetric water content at saturation (θ_{SAT}); a vegetation water
267 stress index (α), estimated as the ratio of annual actual evapotranspiration (AET) to PET. There
268 are more indices shown in Table S 1 and Table S 2.

269

270 **Functional trait data measurements**

271 Leaf traits field campaigns were conducted using a standardized protocol between October 2014
272 and September 2016 in all plots (Oliveras *et al.*, 2020), covering both dry and wet seasons for
273 some traits (see Appendix 1 for sampling protocol). We selected species that contributed to up to
274 80% of the basal area of each plot and sampled the three largest individuals for each species.
275 From each selected individual, we sampled a sun and a shade branch, and from each branch, we
276 used three leaves and three wood segments to measure leaf and wood traits, respectively. Only
277 sunlit samples were used in this analysis because temperature and light of the shade leaves vary
278 considerably from plot to plot which dilutes the focus on the effect of aridity. The specific
279 number of samples and number of individuals sampled could be found in Table S 1, Figure 1
280 and Figure S 3.

281 Wood anatomical traits were analysed in the cross-sectional area of one twig of the sun branch
282 per individual (i.e. three replicates per species) (protocol in Appendix 1). Equation 3 could be
283 interpreted on whole-plant level or shoot level. However, whole-plant traits are challenging to
284 measure and this study is conducted on shoot level. We used K_P (potential sapwood-specific
285 hydraulic conductivity) as a proxy of K_S . K_P was calculated from vessel density and vessel
286 diameter following (Poorter *et al.*, 2010). Nonetheless, K_P and K_S may decouple as not entire
287 sapwood conducts water (Jacobsen *et al.*, 2018). We used twig A_S/A_L as a proxy of whole tree

288 A_S/A_L . We used plant stature (H_{max}) as a proxy of path length (h). Although H_{max} omits
289 information on root length and multi-layer canopy structure, the proxy would satisfy the need for
290 hypothesis testing in the study region but should be used with caution in future modelling studies.

291 We calculated stem respiration per leaf area (R_{s_leaf}) instead of the commonly presented
292 R_{s_stem} , as a ‘maintenance cost of photosynthesis’ (See Appendix 1) (Prentice *et al.*, 2014). To
293 our knowledge, R_{s_leaf} has not previously been presented with in-situ data in the literature. Here
294 we argue the importance to understand stem respiration from per leaf area perspectives because
295 (1) looking at plants from an integrated view, a leaf does not exist alone but exists associated
296 with a full hydraulic system and R_{s_leaf} integrates the maintenance cost of this full continuum
297 (Prentice *et al.*, 2014) (2) consistency with other photosynthetic traits which were reported per
298 leaf area.

299 All trait data reported in this study were field-measured except for wood density, which was
300 obtained from a global species database (Zanne *et al.*, 2009). Net primary productivity was
301 retrieved from (Moore *et al.*, 2018). Global scale sapwood-to-leaf-area ratio in Figure S6 and S4
302 are sourced from (Mencuccini *et al.*, 2019b). Global scale vessel diameter used in Figure S4 is
303 sourced from (Choat *et al.*, 2012). Multiple sources of data were joined using species names.

304 **Statistical analysis**

305 Hypotheses 1-14 (Table 1) were tested by significant differences between wet and dry plots.
306 Principal component analysis (PCA) and standardized major axis regression are used to
307 understand the relationship between K_s , A_S/A_L and photosynthesis traits (Hypothesis 15-16).

308 We performed a plot-to-plot comparison in answering Hypotheses 1 to 14 as follows: (1) We
309 visually inspected histograms of each trait and transformations to normal distribution were
310 applied if necessary. (2) Outliers were checked with the R package *outliers::scores*, interquartile
311 range method (IQR) with threshold 1.5 (Komsta, 2011). Extreme values were kept when we
312 were sure that they were devoid of errors (3) Community-weighted means were calculated based
313 on the basal area of each species. Standard error was calculated with the same weights
314 (Madansky & Alexander, 2017). (4) Significance of differences in plot-to-plot community-

315 weighted means were then tested with Tukey's one-way ANOVA using *lm()*, *glht()*, and *cld()*
316 from *multcomp* package (Hothorn *et al.*, 2008), using basal area as weights. In testing
317 Hypotheses 1-14, a hypothesis was accepted if KOG (dry region) was significantly different to
318 ANK (wet region) while BOB (middle aridity) sat in between (Figure 1). (5) Variance
319 partitioning was done with *vegan::varpart()*, following redundancy analysis ordination (RDA)
320 method with the expression: *varpart* (Trait, ~ Plot, ~ Species, data = Trait). Variance partitioning
321 reveals whether the change of traits along the aridity gradient was driven by intraspecific or
322 interspecific variation. Note that plots within one site share common species (e.g. ANK01 to
323 ANK03), but species composition is very different between sites (e.g. ANK01 to KOG02).
324 Variance partitioning is also used to diagnose whether the intra-specific variation or
325 measurement errors are overwhelming. To double-check the impact of intraspecific variation, we
326 recalculated a community-weighted mean by assuming that the same species share the same
327 value of trait (i.e. remove intraspecific variation) and extrapolated traits value to forest plots
328 without trait measurements (Appendix 5)

329 For hypothesis 16, we applied Principal Component Analysis (PCA) with *FactoMineR::PCA()*
330 (Lê *et al.*, 2008). A_{sat} , K_P , A_S/A_L and $V_{\text{cmax}25}$ were log10 transformed. We avoided
331 standardization by disabling 'scale.unit' in function *PCA()* so that the variance of a trait was
332 reflected by the length of an arrow in Figure 2. For hypothesis 15, the slopes and significance of
333 correlation were calculated by Standard Major Axis Regression (*function smatr::sma()*),
334 commonly used for summarizing the relationship between two plant traits (Wright *et al.*, 2005;
335 Warton *et al.*, 2012) as it considers uncertainties of both axes. All analyses were done at the
336 species level (i.e. each point in Figure 2 represents a species) to compare with other studies and
337 join among datasets. Hypothesis 15 was also tested at the global scale because K_S was reported
338 to negatively correlate with A_S/A_L but there is no report on the global correlation between K_P
339 and A_S/A_L (Appendix 4).

340 Results

341

342 **Aridity gradient**

343 The values of the aridity index (PET/MAP) (site scale) reveal a clear aridity gradient from ANK
344 (moist rainforest site) to BOB (mid) and KOG (dry) (Table S 2). The same order could be arrived
345 at with VPD or maximum cumulative water deficit (MCWD).

346 On the other hand, the simulations of relative soil moisture saturation (Θ) and vegetation water
347 stress index (α) (plot scale) show that plants at BOB were the least soil moisture stressed,
348 followed by ANK and KOG. BOB-02 has the highest values in these two metrics, different to
349 surface soil moisture (Figure 1). The model reports the highest runoff at ANK-03, capturing to
350 some degree the seasonal flooding, as also observed in the field. The different patterns of Θ (or α)
351 to aridity index along the aridity gradient are caused by the soil characteristics which in turn
352 define water holding capacity and hydraulic conductivity; for example, the plots in BOB are
353 atmospherically drier (higher PET/MAP) than in ANK but they could hold more water (higher
354 Θ). Especially in BOB-02, the infiltration rate is strongly reduced by low soil saturated hydraulic
355 conductivity (60 mm/hr, less than half of ANK plots), and hence water can stay more time in the
356 root zone while percolating. This acts as a buffer against the evaporative demand, maintaining
357 water availability during dry months. The hydrological modelling outputs also match with field
358 observation of surface soil volumetric water content (Figure 1) and plot vegetation characteristics
359 (Figure 1, S2). For presentation (Figure 1), we rank sites by MCWD and then plots within sites
360 by volumetric water content.

361

362 **The effect of aridity on traits**

363 From a photosynthesis perspective, along the aridity gradient, we see consistency between
364 theoretical prediction and field measurements (Table 1) for all traits: toward drier site, ci/ca
365 decreases (0.85 to 0.71), V_{cmax25} increases (21.58 to 46.48 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), J_{max25} increases
366 (38.48 to 91.44 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), R_d increases (1.66 to 2.41 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), R_{stem_leaf}
367 increases (0.03 to 0.12 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), A_{sat} increases (4.56 to 7.72 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) and
368 A_{max} increases (15.88 to 22.86 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$). The trends of all photosynthetic traits are

369 successfully predicted by theories based on VPD alone (but note that soil moisture and other
370 aridity indices covary with VPD). As leaf economy traits (Figure S3) and soil nutrients (Table S1)
371 overall do not have a clear trend along the gradient, considering nutrient cycling does not seem
372 to aid the prediction of variation of photosynthetic traits along the aridity gradient.

373 From a water transpiration perspective, the hypotheses are consistent with field measurements
374 for leaf traits. A_s/A_L is higher in drier sites (359.62 to 901.66 $\text{cm}^2 \text{m}^{-2}$) and TLP is more negative
375 in drier sites (-1.33 to -1.63 Mpa). However, less consistency is found between theoretical
376 expectations and field measurements for xylem-related traits. Along the aridity gradient, there is
377 an increasing trend of field K_P toward drier sites (from 28.62 to 59.29 $\text{kg m}^{-1} \text{Mpa}^{-1} \text{s}^{-1}$), against
378 the xylem safety-efficiency trade-off. Considering that K_P is calculated from vessel diameter and
379 density. Behind the above trend, vessel diameter also contradict the hypotheses. Vessel diameter
380 does not change along the aridity gradient, while vessel density increased toward drier sites
381 (from 44.57 to 69.69 mm^{-2}). The theory expects lower K_P and hence higher wood density toward
382 drier sites, but the drier plots (KOG04, KOG05) have higher K_P , higher twig density and higher
383 wood density than the wettest site (ANK03). Meanwhile we also find K_P negatively correlates
384 with twig density on species scales (a Simpson's paradox, see Appendix 4). ANK-01 has very
385 high wood density and twig density which breaks the increasing trend formed by other plots.
386 H_{max} decreases from wet to dry sites as expected.

387 Using variance partitioning, we find that the plot-to-plot trends of all traits are dominated by
388 inter-specific rather than intra-specific variation (i.e., components [a] are smaller than [b] in
389 Appendix 5) (i.e. the change of species composition). The analogous patterns between twig and
390 wood density along the aridity gradient also support species turnover since twig density was field
391 measured and wood density was parsed from a global database by species (Zanne *et al.*, 2009).
392 Nonetheless, variance induced by 'not changing species and not changing plot' or simply
393 measurement errors (component [d]) were large for many traits: accounting for 95% of turgor
394 loss point variance, followed by $V_{\text{cmax}25}$ (74%) and $J_{\text{max}25}$ (66%).

395

396 **The coordination between photosynthesis and water transportation**

397 Data from our West African aridity gradient reveal a weak positive correlation between K_p and
398 A_S/A_L , contradictory to Hypothesis 15, and inconsistent with the negative correlation that
399 emerged on global scales (Appendix 4). A_S/A_L for the Ghanaian aridity gradient is higher than
400 the pantropical average. For hypothesis 16, we further explore the link between A_S/A_L , K_p and
401 photosynthetic trait. Species with both high A_S/A_L and K_p tend to have higher V_{cmax25} and lower
402 ci/ca . Such species tend to be deciduous and appear more in drier plots (Figure 2). There is a
403 larger variance of hydraulic traits compared to photosynthetic traits. The pattern is consistent if
404 we redo the above PCA with A_{sat} instead of V_{cmax25} (Appendix 4). This finding supports
405 hypothesis 16 (Table 1) as well as equation 3.

406 Discussion

407 The trend of traits along the aridity gradient

408 Although most hypotheses (Table 1) have been tested with spatially varying aridity at multiple
409 scales (Harrison *et al.*, 2021), testing them along the Ghana aridity gradient helps to scrutinize
410 the pattern in the absence of temperature variation. The patterns of all photosynthetic traits
411 measured along the aridity gradient (ci/ca , J_{max25} , V_{cmax25} , R_d , R_{stem_leaf} , A_{sat} , A_{max} , namely
412 hypotheses 1-7) are consistent with the theoretical expectations, which underscores that aridity is
413 a direct and critical driver of photosynthetic traits in absence of confounding effect with
414 temperature. The increase of photosynthetic capacity towards drier sites is useful in explaining
415 multiple previous observations: (1) Savanna has higher A_{sat} and A_{max} than wet evergreen forest
416 (Gvozdevaite, 2018; Oliveras *et al.*, 2020) (2) In the tropics, drier sites are brighter and warmer
417 where higher photosynthetic capacity imply higher actual CO_2 assimilation per leaf area. This
418 explains the previous finding that woody savanna has sparse canopy but similar net primary
419 productivity to wet evergreen forest (Figure 1) (Moore *et al.*, 2018). As leaf area index decreases
420 toward dry sites and photosynthesis rate increases, the mid-aridity site could be the most
421 productive (Moore *et al.*, 2018). (3) For wet Amazonia forests, leaves flushed in dry season have
422 higher photosynthetic capacities which increase forest productivity (Wu *et al.*, 2020; Green *et al.*,
423 2020).

424 From a water transportation perspective, forests in drier sites have higher TLP, lower H_{\max} and
425 higher A_S/A_L , in support of a greater mid-day transpiration stream (agreed with hypotheses 8-10).
426 However, we found slightly higher K_P toward drier sites inconsistent with hypotheses derived
427 from the safety-efficiency trade-off. First, it could be associated with the difference between K_P
428 and K_S – vessels embolized in drier sites are not detected by anatomical images and not entire
429 sapwood conducts water (Jacobsen *et al.*, 2018). It is possible that the trade-off work well only
430 for single-species studies (Pritzkow *et al.*, 2020) and become weak on large scales and across
431 species (Gleason *et al.*, 2016; Grossiord *et al.*, 2020b). Much higher deciduousness in KOG (dry
432 site) than in the wet sites may play a role as higher hydraulic efficiency was observed from
433 deciduous species or more deciduous forests (Choat *et al.*, 2005; Chen *et al.*, 2008; Liu *et al.*,
434 2021) as they need less hydraulic safety (Körner, 2019). Furthermore, the increase of K_P along
435 the aridity gradient is not inconsistent with previous global analysis: First, the trade-off is not
436 strong (or not a strict 1:1) and per given hydraulic safety, a wide range of efficiency was
437 observed in a global dataset (Gleason *et al.*, 2016). Second, for environments with wet soils and
438 dry atmosphere, high hydraulic efficiency was observed which reduce xylem water potentials
439 and thus avoid harmful tension in the first place (Gleason *et al.*, 2013). We reported a negative
440 correlation between A_S/A_L and K_P at global scales but a positive correlation along the aridity
441 gradient (Appendix 4). One of the reasons for these contrasting opposite correlations may lie in a
442 geographical sampling bias – the global dataset with scarce data points from West Africa
443 compared with the Ghanaian dataset. The other possibility could be a confounding effect by
444 temperature or vegetation type at the global scale; for example, a negative correlation between
445 A_S/A_L and K_S was reported globally (Mencuccini *et al.*, 2019b) and on continental (Australia)
446 scales (Gleason *et al.*, 2012), but an insignificant correlation was also reported for tropical forest
447 stands on local scales without varying temperature (Poorter *et al.*, 2010; Schuldt *et al.*, 2013;
448 Hoeber *et al.*, 2014).

449 By assuming that traits with a clear and strong trend along the aridity gradient are more tightly
450 bound with aridity (Figure 1), c_i/c_a (stomata behaviour), TLP (drought tolerance) and A_S/A_L
451 (water delivery) are found to be the most aridity-driven traits. The runners-up are R_d , $R_{\text{stem_leaf}}$,
452 $J_{\max 25}$, and $V_{\max 25}$, which was thought acclimated to c_i/c_a and light intensity (Wang *et al.*, 2017b).
453 Although c_i/c_a , $V_{\max 25}$, K_P and A_S/A_L all vary from wet to dry sites, we further illustrate that,

454 surprisingly, it is photosynthetic traits instead of hydraulic traits that contrast species from wet to
455 dry sites (also from evergreen to deciduous) (Figure 2). Given that large photosynthetic traits
456 variation from wet to dry plot was induced by species turnover (Appendix 5), our studies hint
457 that facing a drier climate, if allowed time, West African forests photosynthesis could adapt to a
458 drier climate by changing species abundance with possibly more deciduousness and higher
459 photosynthesis capacity albeit less stomatal openness (Aguirre-Gutiérrez *et al.*, 2019). Without
460 consideration of the positive effect of aridity on photosynthetic capacity, models could
461 underestimate forest productivity under future drier climates.

462 **Combining photosynthesis and hydraulic hypotheses**

463 Overall, optimality theory can well explain plant photosynthesis strategies along the aridity
464 gradient and we also expand the theory to consider water transportation. Namely, species in drier
465 sites (with more deciduousness) tend to develop a photosynthesis strategy with less stomata
466 openness (ci/ca), stronger photosynthetic capacities (J_{max25} and V_{cmax25}) with more maintenance
467 cost (higher R_d and R_{stem_leaf}), quicker photosynthesis rate (A_{sat}) and larger maximum
468 transpiration per leaf area, supported by larger K_P and larger A_S/A_L . The product of A_S/A_L and
469 K_P is a proxy of water delivery per leaf area, which was previously found well correlated with
470 proxies of photosynthesis rate: A_{sat} (Santiago *et al.*, 2004), the quantum yield of electron
471 transport (Brodribb & Feild, 2000) and electron transfer rate (Brodribb *et al.*, 2002), consistent
472 with this study (Figure 2). The large variance of wood traits (way larger than leaf photosynthetic
473 traits) (Mencuccini *et al.*, 2019b) (Figure 2), hints that plants might have a wide range of choices
474 of traits combinations to provide adequate water transportation (Sperry *et al.*, 2002; Prentice *et*
475 *al.*, 2014) in drier sites to support faster photosynthesis. The study also highlights the central role
476 of A_S/A_L , or LAI on forest stand scale, or deciduousness on temporal scale, in controlling water
477 relations. Further investigations into xylem functioning are required to further understand how
478 larger water transportation was achieved in drier sites. Although we successfully predicted plants
479 photosynthesis strategies along the aridity gradient (hypothesis 1-7) based solely on VPD
480 without mentioning soil nutrients nor soil moisture, the theoretical deduction implicitly assumes
481 that plants in our study site have adequate access to soil nutrient and belowground water. Further,
482 since soil moisture and VPD co-vary along the aridity gradient and both can cause stomata

483 closure (Rodriguez-Dominguez & Brodrribb, 2020), their effects are confounded in this study.
484 Thus caveats should be given to model the effect of aridity using only VPD, especially since soil
485 moisture may be playing a role at other temporal scales (e.g., daily) (Liu *et al.*, 2020; Fu *et al.*,
486 2022) or under extreme soil drought (Sperry *et al.*, 2002).

487 **Conclusion**

488 Along the aridity gradient, we find that at drier and brighter sites with more deciduousness,
489 species tend to have higher V_{cmax25} and lower c_i/c_a with both higher A_s/A_L and K_P (greater mid-
490 day transpiration steam). With such a working example in West Africa, the study not only
491 underscores the importance of incorporating the positive effect of aridity on photosynthesis
492 capacity, as predicted by optimality theory, in carbon modelling but also explains how plants
493 arrange water transportation for higher photosynthesis at drier sites. The study also highlights the
494 pivotal role of A_s/A_L in plants long-term adjustment to water shortage.

495 **Data availability**

496 Figures could be downloaded from

497 https://github.com/Hzhang-ouce/Ghana_rainfall_trait_variation_optimality_github. To reproduce
498 figures, data and R codes mentioned in the main text could also be found in the above repository.

499

500

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 510 TipTropTrans).

511 **Conflict of interest**

512 The authors have no conflicts of interest to declare that are relevant to the content of this article.

513 **Author contributions**

514 IO, YM, ICP, and HZ designed the research and interpreted the results. DS did the hydraulic
 515 modelling. YM, AG, TP, MB, KZ, SAB, JAG, IO, HZ contributed to data collection. HZ carried
 516 out the analyses and wrote the paper with inputs and revisions from all co-authors.

517

518

519 *Table 1 Traits name, unit, hypotheses and findings from field measurements along the rainfall*
 520 *gradient, Green color denotes consistency between theory and our field data. Orange color*
 521 *denotes inconsistency.*

#	Hypotheses	Data	Consistent
Variables associated with photosynthesis and respiration (Optimality theory)			
1	Toward drier sites, the ratio between leaf-internal and ambient CO ₂ (ci/ca, %) (from 13C) decreases.	Decrease	✓
2	Toward drier sites, Rubisco carboxylation capacity at 25 C° (V _{Cmax25} , umol CO ₂ m ² s ⁻¹) increases.	Slight increase	✓
3	Toward drier sites, electron transport capacity at 25 C° (J _{max25} , umol CO ₂ m ² s ⁻¹) increases.	Slight increase	✓
4	Toward drier sites, light saturated assimilation rate at 400 ppm (Asat, umol CO ₂ m ² s ⁻¹) increases.	Increases	✓
5	Toward drier sites, light saturated assimilation rate at 2000 ppm (Amax, umol CO ₂ m ² s ⁻¹) increases.	Increase	✓
6	Toward drier sites, leaf dark respiration (Rd, umol CO ₂ m ² s ⁻¹) increases.	Increase	✓

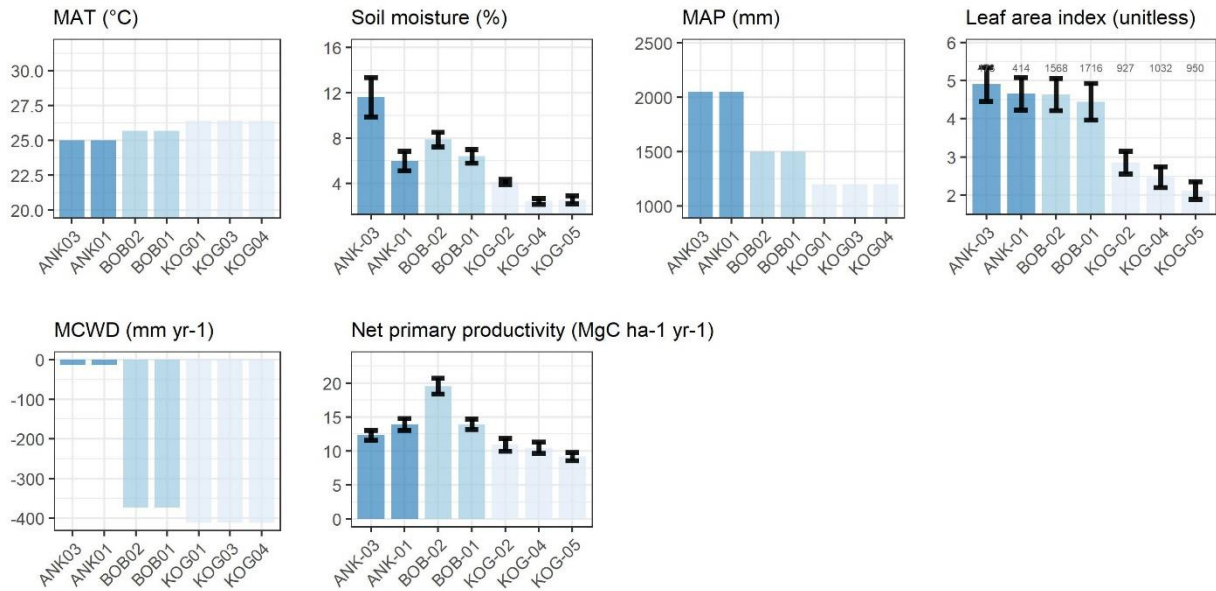
7	Toward drier sites, specific stem respiration (R_s , $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) increases .	Increase	✓
Variables associated with water transportation			
8	Toward drier sites, Sapwood to leaf area ratio (Huber value) (A_s/A_L , $\text{cm}^2 \text{ m}^{-2}$) increases .	Increase	✓
9	Toward drier sites, turgor loss point (TLP, MPa) becomes more negative.	More negative	✓
10	Toward drier sites, plant stature, calculated as maximum tree height of a species (H_{max} , m) decreases .	Slight decrease	✓
11	Toward drier sites, wood density (g cm^{-3}) and twig density (g cm^{-3}) increase (if following the safety-efficiency trade-off).	Slight increase	✓
12	Toward drier sites, potential specific hydraulic conductivity (K_p , $\text{kg m}^{-1} \text{ Mpa}^{-1} \text{ s}^{-1}$) decreases (if following the safety-efficiency trade-off).	Slight increase	
13	Toward drier sites, vessel diameter (μm) decreases . (if following the safety-efficiency trade-off).	No trend	
14	Toward drier sites, vessel density (mm^{-2}) increase . (if following the safety-efficiency trade-off).	Slight Increase	✓
15	A_s/A_L and K_p are negatively correlated. (if following safety-efficiency trade-off, and global scale analysis – see introduction)	Positive correlation	
16	For species with high A_s/A_L and K_p , there is high V_{cmax} (or high A_{sat})	See Figure 3	✓
<p>'Data' column summarizes patterns in Figure 1. A trend of trait is qualitatively recognized if KOG (dry region) is significantly different to ANK (wet region) while BOB ranks between. 'Slight increase' suggests that the pattern fits the above criteria broadly albeit one plot behaves inconsistently. Colours indicate results that are consistent (green), weakly consistent (light green) and inconsistent (orange) with theoretical expectations. Ticks in the column 'consistent' indicate consistency between hypotheses and data</p>			

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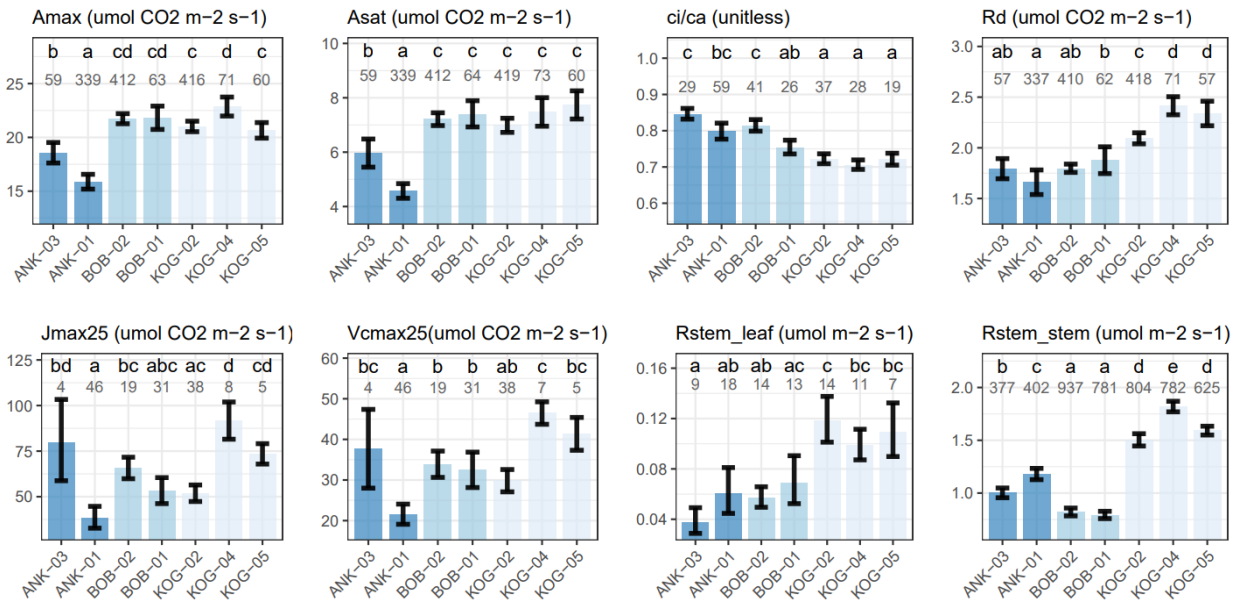
Study plots properties



525

Variables associated with photosynthesis and respiration

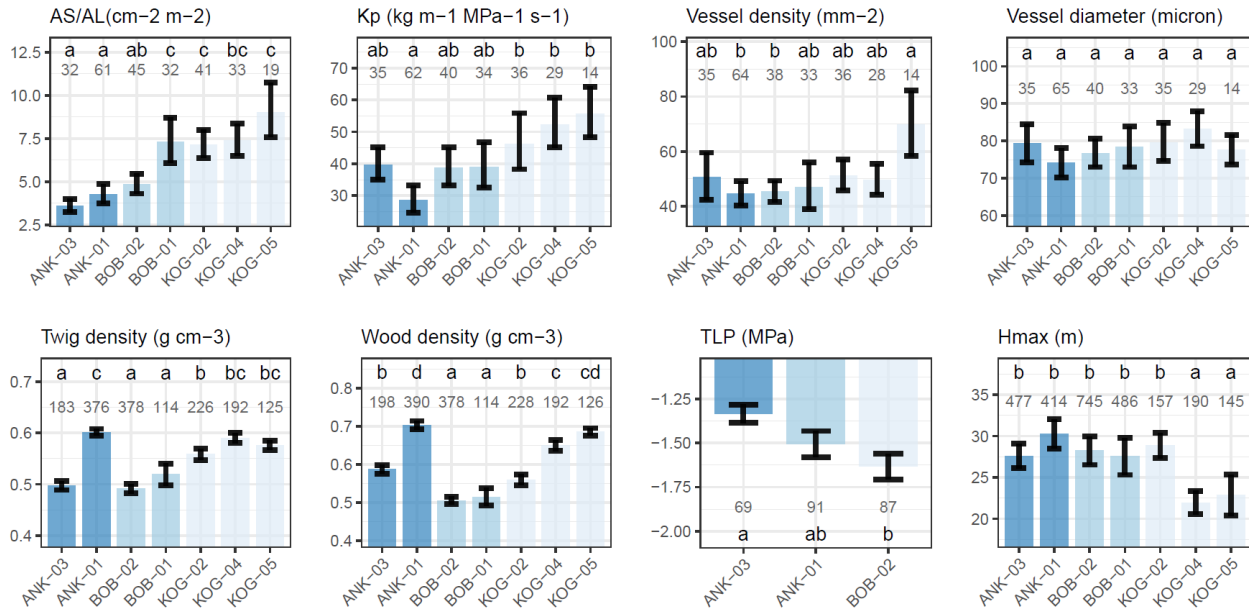
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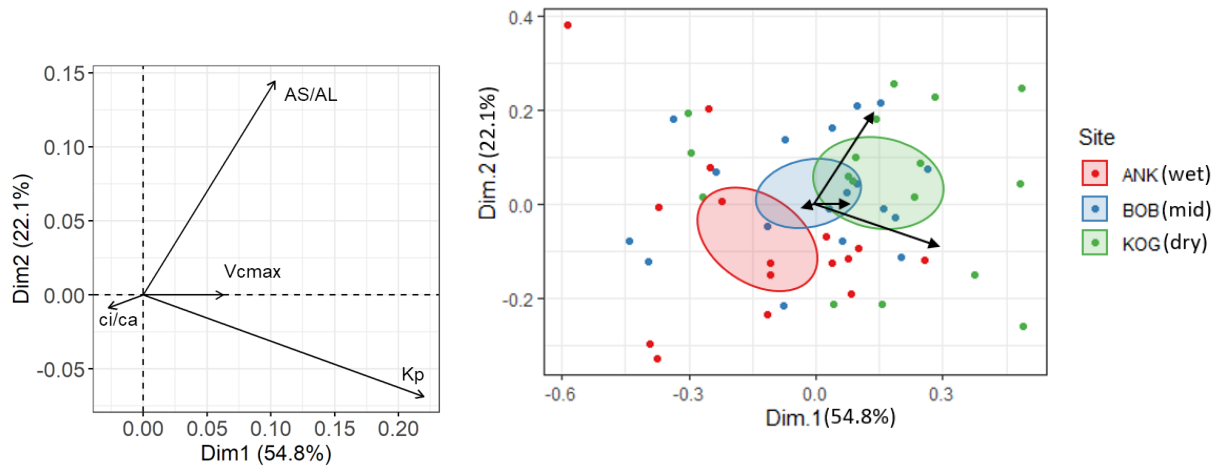
Variables associated with water transportation



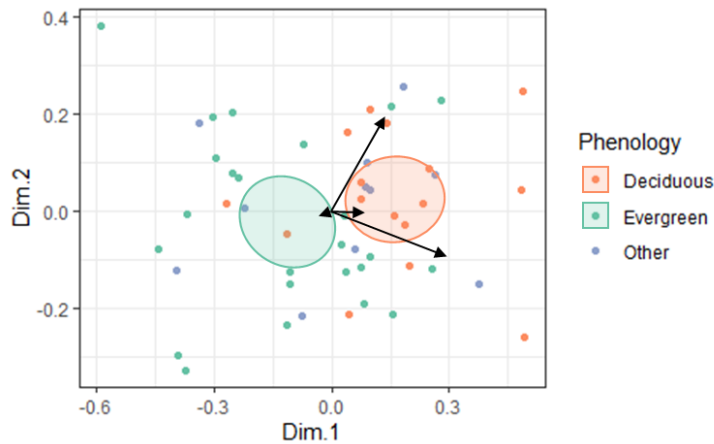
529

530 *Figure 1 Plot scale community weighted mean (with standard error) from the wettest (left) to*
 531 *the driest (right) plot. Here we show Maximum Cumulative Water Deficit (MCWD), Mean annual*
 532 *air temperature (MAT), mean annual precipitation (MAP) and soil volumetric water content at*
 533 *12 cm depth (Soil moisture), leaf area index (LAI) and Net primary productivity (NPP) all as*
 534 *annual mean. See Table 1 for the list of plant traits. Forest plots are arrayed from left to right in*
 535 *order of increasing aridity according to MCWD and volumetric water content. The number*
 536 *denotes the number of samples, which could be a leaf, a branch, a tree or a species depending*
 537 *on the variable. The letters denote significance (P<0.05) in plot-to-plot difference.*

538



539



540

541 *Figure 2 Principal components analysis for Huber value (AS/AL), the ratio between leaf internal*
542 *and ambient CO₂ (ci/ca), Rubisco carboxylation capacity at 25 degree (Vcmax25) and potential*
543 *specific conductivity (Kp) on species scale. Values are transformed to achieve normal distribution*
544 *but not standardized to equal variance; therefore the length of arrows denotes the variance of*
545 *the specific trait. The ellipses for each site are confidence ellipses around group mean points.*
546 *The PCA axes in all figures are identical. Note that the three figures display the same PCA, but*
547 *with a different classification of scatter points.*

548

549

550 **Appendix 1 Field sampling protocol**

551 Please note that the number of samples could be found in Table S 1, Figure 1 and Figure S 3 and
552 thus not repeated here.

553 The ratio between leaf-internal and ambient CO₂, c_i/c_a (unitless) was estimated from leaf $\delta^{13}C$
554 measurements (the stable isotope ratio relative to a standard material). We first estimated $\Delta^{13}C$
555 the difference between the leaf stable isotope ratio and the atmospheric stable isotope ratio, from
556 $\delta^{13}C$ at that place and time according to (Cornwell *et al.*, 2016). Then we estimated c_i/c_a from
557 $\Delta^{13}C$ by equation 11 in (Peng *et al.*, 2020).

558 Climate and soil variables presented in Figure 1 and Table S1 are field measurements, most of
559 which were sourced from (Moore *et al.*, 2018; Oliveras *et al.*, 2020). Climate variables were
560 recorded by local meteorological station from 2011 to 2015. Soil properties are average across 0-
561 30cm, field measured in 2013 and 2014. Soil volumetric water content (vwc) (%) was measured
562 in the field every month in 2016, using a soil moisture sensor probe over the depth in the forest
563 over the depth 0–12 cm. Soil hydraulic data in Table S2 are model outputs (See Method).

564 For light saturated assimilation rate at 400 ppm, A_{sat} ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) and at 2000ppm,
565 A_{max} ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), The branch that had been cut was promptly placed in water and recut.
566 To measure leaf gas exchange traits, an open flow gas exchange system (LI-6400XT, Li-Cor Inc.,
567 Lincoln, NE, USA) was used. Three leaves were selected from each tree and analyzed for A_{sat}
568 and A_{max} , as well as dark respiration (R_d) ($\mu\text{mol m}^{-2} \text{ s}^{-1}$ for all photosynthesis traits). The
569 photosynthetic photon flux density was set at 2000 $\mu\text{mol m}^{-2} \text{ s}^{-1}$, with the exception of dark
570 respiration measurements (0 $\mu\text{mol m}^{-2} \text{ s}^{-1}$). The block temperature was kept constant at 30° C
571 throughout the sampling period, which was similar to the ambient air temperature. More
572 information could be found from supporting information in (Aguirre-Gutiérrez *et al.*, 2019;
573 Oliveras *et al.*, 2020). The above traits were sampled each month from October 2014 to
574 September 2016.

575 To determine leaf mass per area, LMA ($\text{m}^{-2} \text{ kg}^{-1}$), nitrogen content by area, N_{area} (g m^{-2}),
576 nitrogen content by mass, N_{mass} (g/kg), phosphorus content by area, P_{area} (g m^{-2}), and phosphorus

577 content by mass, P_{mass} (g/kg), we selected three fully-grown trees that emerged from the canopy
578 (total of 298 trees) for each species in a given site. Within each tree, we randomly chose three
579 mature leaves from a fully sunlit branch that were not in the process of senescing. The leaves
580 were then dried in an oven at 70°C until a constant mass was reached. Total leaf lamina area
581 (cm²) was calculated by scanning images using NIH ImageJ (<http://rsbweb.nih.gov/ij/>) and a
582 custom MATLAB script (<https://github.com/bblonder/leafarea>). LMA was calculated by dividing
583 the dried leaf mass by the leaf area. Part of these data were reported in (Gvozdevaite, 2018;
584 Oliveras *et al.*, 2020). Data reported in this study are slightly different to the above two studies
585 because there is more sampling in this study. Samples were taken each month from October 2014
586 to September 2016.

587 To measure maximum rate of electron transport at 25 °C $J_{\text{max}25}$ (umol CO₂ m⁻² s⁻¹) and
588 maximum rate of carboxylation at 25 °C $V_{\text{cmax}25}$ (umol CO₂ m⁻² s⁻¹), we sampled one individual
589 tree per species within each study plot to generate A-Ci curves, which show the photosynthetic
590 response to changes in substomatal CO₂ concentration (Ci). CO₂ concentration was changed in
591 the following sequence: 400, 300, 200, 100, 50, 400, 600, 800, 1200, 1500, and 2000 μmol m⁻² s⁻¹.
592 The photosynthetic photon flux density was set at 2000 μmol m⁻² s⁻¹, and the block
593 temperature was kept constant and closest to ambient throughout the sampling period at 30°C.
594 We used the A-Ci curve fitting method and followed the procedure described in detail in
595 Appendix B of Domingues *et al.* (2010) which extracts V_{cmax} and J_{max} values. To enable
596 comparison of our data and findings with the wider literature on photosynthetic capacity
597 variability, we scaled the measured and estimated values of V_{cmax} and J_{max} to a reference
598 temperature of 25°C following Sharkey *et al.* (2007). We further refer to the scaled values as
599 $V_{\text{cmax}25}$ and $J_{\text{max}25}$ in the text. $V_{\text{cmax}25}$ and $J_{\text{max}25}$ are collected in October 2015. The field
600 campaign did not finish at site ANK-03 leading to very little number of samples at this site.

601 To calculate sapwood area to leaf area, or Huber value, AS/AL (cm⁻² m⁻²), we first determine
602 leaf area (AL) of a sampled terminal, sun-exposed shoots from the outer canopy. We scanned the
603 adaxial side of the leaf lamina (without petiole) on a Canon Lided220® flatbed scanner and
604 analysed the images using a Matlab code that can be found at
605 <https://github.com/bblonder/leafarea> Neyret *et al.* (2016). The total leaf area per branch (AS/AL)

606 was determined with the assumption that the branch diameter (without bark) corresponded to
607 sapwood area. Samples are collected in October 2015.

608 For structural traits, including twig density (g cm^{-3}), vessel density (mm^{-2}), average vessel
609 diameter (μm) and potential specific hydraulic conductivity, K_p ($\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$), we sampled
610 ~8-10mm diameter twigs, on three replicates per species (Gvozdevaite, 2018). Cross sections
611 about 20-50 μm were made using a sliding microtome, stained in safranin O and alcian blue, and
612 permanently mounted on a microscopic slide. A pie shaped segment stretching from pith to
613 cambium was photographed using OptronicsMicrofire camera mounted on Olympus BX-50
614 microscope and PictureFrame software. All vessels within a pie region were marked and
615 coloured using magic wand tool (GIMP, <http://gimp.org>) and interactive pen display (Wacom
616 Cintiq 22HD). Vessel area, average diameter (average of minimum and maximum diameters)
617 and pie region area were measured using ImageJ. Next, average diameters of all vessels per
618 given pie area were averaged resulting in sample average vessel diameter (VD) which was then
619 used in the analyses. Vessel density (ρ_V) was calculated by dividing the total number of vessels
620 in an analyzed pie section by the area of that pie section. Lastly, we calculated K_p using the
621 Hagen-Poiseuille equation as per Poorter et al. (2010). Twigs were dried for at least 72 hours at
622 105°C and mass was measured on a precision balance. The twig density was calculated as dry
623 mass divided by the volume of soaked wood. Samples are collected in October 2015.

624 For maximum tree height of a species, H_{max} (m), in January 2020, we measured the tree height
625 of each tree using a digital clinometer in the forest plot (with diameter at breast height larger than
626 10cm).

627 For turgor loss point, TLP (MPa), a sunlit branch with fully grown leaves was collected and re-
628 cut while submerged in water. The branch was then rehydrated overnight, covered with a black
629 plastic bag sprinkled with water on the inside, and left to rehydrate for approximately 15 hours.
630 To generate pressure-volume curves, paired measurements of leaf water potential and leaf mass
631 were repeatedly taken after intervals of bench drying, resulting in 9 to 12 points per curve. The
632 leaves were scanned to obtain their area and then oven-dried at 60 degrees Celsius for 3 days to
633 obtain their dry mass. PV curves were fitted to extract TLP using codes in (Raab, 2020). TLP
634 was collected in August 2019. Pressure-volume curves are measured in October 2015.

635 Wood density was provided by [Forestplot.net](#) who sourced information from (Zanne *et al.*, 2009).
636 In Appendix 4, we also compared data collected from Ghana with AS/AL from (Mencuccini *et*
637 *al.*, 2019b) and vessel diameter from [xylem functional traits database](#) (Choat *et al.*, 2012).

638 Stem respiration per stem area (Rs_stem) was measured using a closed dynamic chamber
639 method, from 25 trees distributed evenly throughout each plot at 1.3 m height with an IRGA
640 (EGM-4) and soil respiration chamber (SRC-1) connected to a permanent collar. As we know
641 tree height of each tree, Rs_stem could be converted to stem respiration per leaf area (Rs_leaf)
642 using tree height and AS/AL. Assuming trees have a cylindrical shape, we have $Rs_leaf =$
643 $Rs_stem * 4 * AS/AL * H / DBH$, where AS/AL is Huber value, H is tree height and DBH is
644 diameter at breast height. We calculated Rs_leaf because most of the traits and theories involved
645 in this study were expressed on per leaf area basis. Stem respiration was measured every three
646 months from 2014 to 2016.

647 Leaf area index (LAI) was estimated from hemispherical images taken with a Nikon 5100
648 camera and Nikon Fisheye Converter FC-E8 0.21x JAPAN near the center of each of the 25
649 subplots in each plot in each site, at a standard height of 1 m, and during overcast conditions.
650 22,000 photos were collected in total, every month during 2016-2017(ANK), 2012-2017
651 (BOB&KOG). Photos were processed using machine learning-based software ‘ilastik’ (Berg *et*
652 *al.*, 2019) for pixel classification and CANEYE (Demarez *et al.*, 2008) for leaf area index
653 calculations. The exposure procedure followed (Zhang *et al.*, 2005) and GEM manual (Malhi *et*
654 *al.*, 2021) (<http://gem.tropicalforests.ox.ac.uk>). The following parameters were supplied to
655 CANEYE.

- 656 (1) P1 = angle of view of the fish eye divided by the amount of pixels from centroid of the
657 fish eye circle to where horizon is on the image.
- 658 (2) angle of view = 90 degree, in which case, the edge of the photo is the horizon and the
659 centroid of the image is zenith.
- 660 (3) COI = 80, consideration of field is 80 degrees, we don't want the edge of the photo
661 because it is not clear and sometimes obscure by tall grasses or saplings.
- 662 (4) Sub sample factor = 1
- 663 (5) Fcover = 20 degree, this is to calculate the percentage of black pixels within central 20-
664 degree ring. We used this to understand the relative openness of canopy for the given
665 image. It is not relevant to LAI

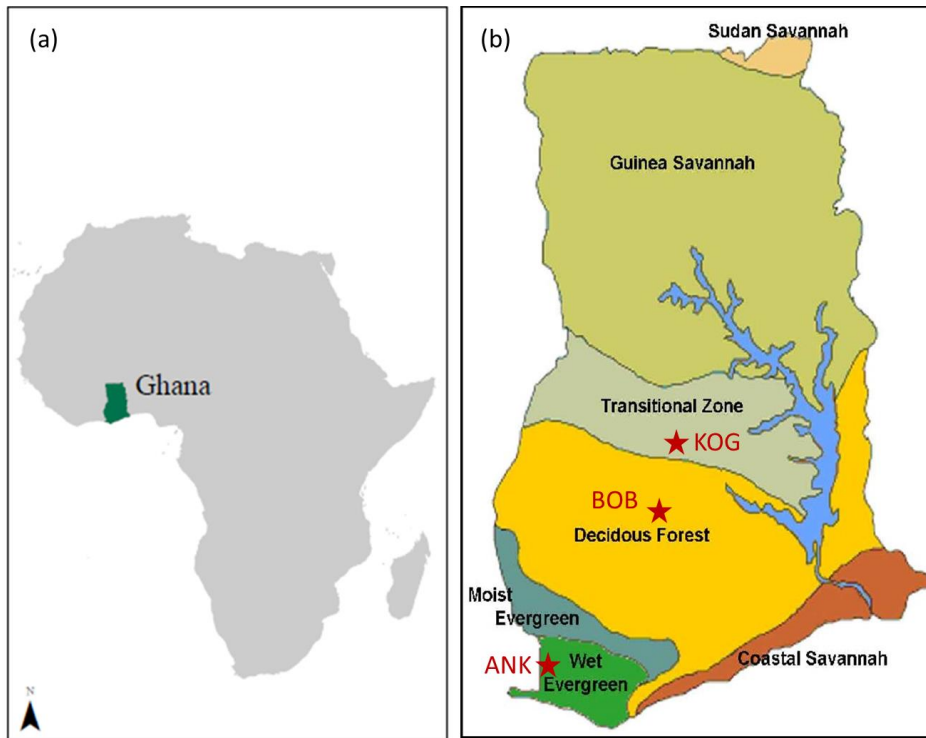
- 666 (6) PAIsat = 10, When a pixel is completely black, mathematically, the leaf area index (LAI)
667 is infinite. As we provide CANEYE 25 subplot images for each estimation of LAI, this
668 means all 25 subplot images show black at a given pixel. To address this ‘infinite’ issue,
669 we use a value of 10 for LAI in such cases. This value is based on the guess that, the
670 densest point in a tropical forest should have an LAI of 10.
671 (7) Latitude 0 and Day of year a random number (not relevant for tropical site LAI)

672 Then, we extract output from CANEYE using software R. We chose the latest method of LAI
673 calculation offered by CANEYE, ‘CE V6.1 True PAI’. CANEYE reported one LAI value per
674 method (4 methods) per plot per site per month, as a synthesis across 25 subplots images. As
675 systematic error is dominating in LAI calculation, we take the standard deviation of LAI across
676 four methods as the uncertainty for LAI.

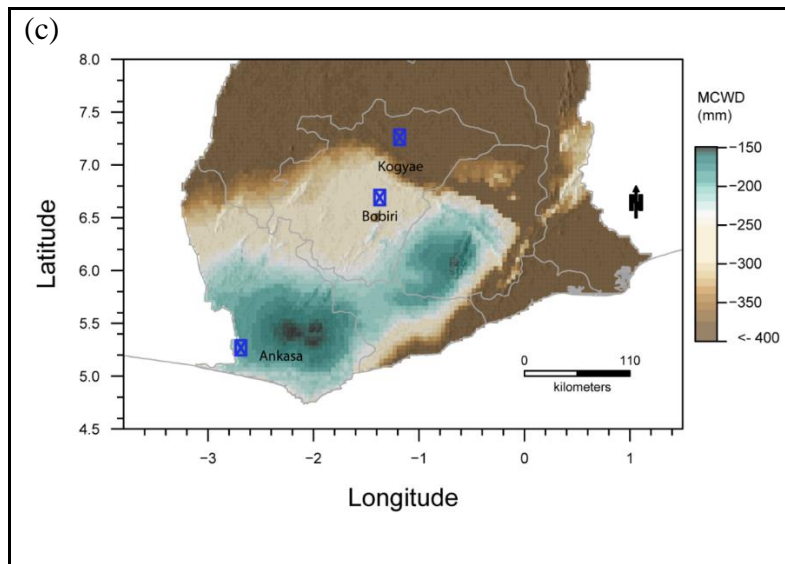
677 **Appendix 2 Study Sites, the aridity gradient**

678

679



680



681 *Figure S 1 Map of the location of (a) Ghana within the African continent (b) and the study sites*
682 *and forest types in Ghana (Appiah et al., 2014). (c) showed study sites over a map of maximum*
683 *climate water deficit (MCWD) (Aguirre-Gutiérrez et al., 2019).*



689 *Table S 2 Rsplash model outputs, which simulate hydrology from climate, typography and soil*
 690 *property. vwc_mean and vwc_sd are mean and standard deviation of soil volumetric water*
 691 *content (unitless fraction); Se_mean and Se_sd are the mean and standard deviation of relative*
 692 *soil moisture saturation (θ) (unitless fraction); Alpha_mean and Alpha_sd are mean and*
 693 *standard deviation of vegetation water stress index (α) (unitless fraction, calculated as AET/PET);*
 694 *Aet is actual evapotranspiration (mm year⁻¹); Pet is potential evapotranspiration (mm year⁻¹);*
 695 *ro is runoff (mm year⁻¹); cond is condensation (mm year⁻¹); MAP is mean annual precipitation*
 696 *(mm year⁻¹), MAT is mean annual air temperature (degree Celsius), VPD is vapor pressure*
 697 *deficit (kpa, annual stats); SAT: volumetric water content at saturation m³ m⁻³; perc:*
 698 *percolation or deep drainage, or vertical drainage (mm/year); FC is volumetric water content at*
 699 *33kPa (field capacity) m³/m³; WP, volumetric water content at 1500kPa (permanent wilting*
 700 *point) m³/m³; AWC: plant available volumetric water content (FC-WP); Ksat, Saturated*
 701 *hydraulic conductivity (mm/hr)*

Plot	ANK-01	ANK-03	BOB-01	BOB-02	KOG-02	KOG-04	KOG-05
Site	Ankasa	Ankasa	Bobiri	Bobiri	Kogyae	Kogyae	Kogyae
vwc_mean	0.173647	0.309652	0.288372	0.417	0.174785	0.152259	0.134706
vwc_sd	0.017241	0.085336	0.032128	0.045303	0.029734	0.02364	0.018203
Se_mean	0.379521	0.581384	0.580031	0.786519	0.3387	0.301315	0.272344
Se_sd	0.037682	0.160223	0.064623	0.085447	0.057618	0.046783	0.036803
alpha_mean	0.630998	0.583154	0.655883	0.70185	0.401261	0.366604	0.338958
alpha_sd	0.024953	0.038648	0.02599	0.005342	0.013719	0.010825	0.00929
aet	863.1792	805.3301	951.0688	1016.294	658.4057	606.0918	559.005
pet	1366.693	1378.178	1448.611	1447.996	1641.281	1654.57	1651.407
ro	0	177.2069	0	70.2051	0	0	0
cond	35.00537	32.64697	34.66683	34.9216	47.13504	44.43666	45.14205
perc	48.78369	140.6087	12.80651	156.903	272.1005	192.8297	71.75027
MAP_mean	2183.329	2183.329	1297.797	1297.797	1327.496	1327.496	1327.496
MAP_sd	548.3388	548.3388	96.80984	96.80984	91.86095	91.86095	91.86095
MAT_mean	25.02057	25.02057	26.04887	26.04887	26.75565	26.75565	26.75565
MAT_sd	0.114074	0.114074	0.320538	0.320538	0.277137	0.277137	0.277137
VPD_mean	0.099453	0.099453	0.465912	0.465912	0.756213	0.756213	0.756213
VPD_sd	0.108151	0.108151	0.290293	0.290293	0.726797	0.726797	0.726797
PET/MAP	0.625968	0.631228	1.116207	1.115734	1.236373	1.246384	1.244001
SAT	0.293072	0.517389	0.453959	0.484107	0.515887	0.505159	0.494013

FC	0.130408	0.252317	0.216695	0.276332	0.198493	0.194701	0.190016
WP	0.061419	0.103204	0.096532	0.141651	0.057346	0.059782	0.061278
AWC	0.107705	0.1535	0.1316	0.1475	0.141191	0.134961	0.128895
Ksat	87.86493	115.5978	96.32671	60.17225	205.6295	188.7933	174.9063

702

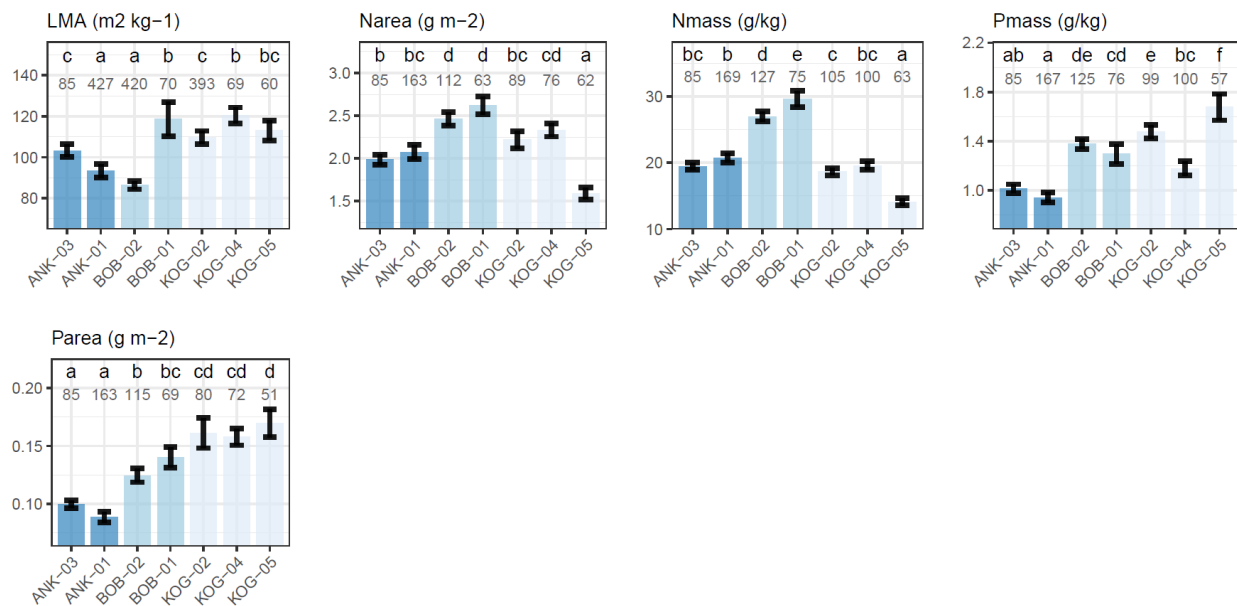
703

704 Appendix 3 Information associated with leaf economy

705 Leaf economy traits have been published (Gvozdevaite *et al.*, 2018; Oliveras *et al.*, 2020). Data
 706 reported in this study are slightly different to the above two studys because there are more
 707 sampling in this study. Samples were taken each month from October 2014 to September 2016.
 708 Data here are provided for completeness and for future researchers 'convenience.

709

710



711

712 *Figure S 3 Community weighted mean (with standard error) of variables associated with leaf*
 713 *economy from wet to dry plots. Plots were ordered from left to right according to the*

714 *description in the first paragraph of results. The number denotes the number of samples, which*
715 *could be a leaf, a branch or a tree etc. The letters denote significance ($P < 0.05$) in plot-to-plot*
716 *difference. (Oliveras et al., 2020)*

717 **Appendix 4 Report on Hypothesis 15**

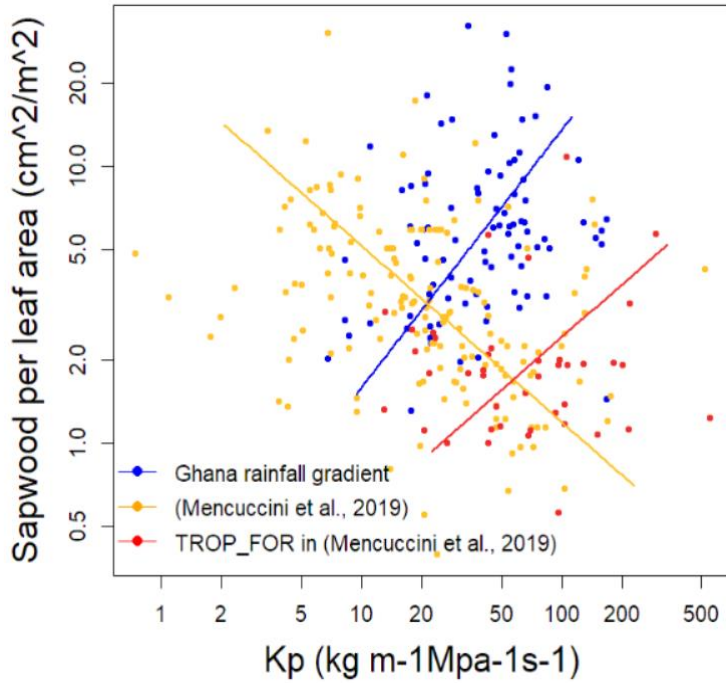
718 We hypothesized that the product of K_P (specific xylem hydraulic conductivity) and A_S/A_L
719 (sapwood to leaf area) vary less than K_P or A_S/A_L themselves, and there is a trade-off (negative
720 correlation) between K_P and A_S/A_L . As the trade-off between K_S (well associated with K_P) and
721 A_S/A_L has been observed on a global scale (Mencuccini *et al.*, 2019b), here we also plot K_P
722 versus A_S/A_L for readers' convenience in comparison with measurements from Ghana aridity
723 gradient. We estimated K_P for species reported in (Mencuccini *et al.*, 2019b) by collecting vessel
724 diameter and vessel density from XFT database (Choat *et al.*, 2012), with the same calculation
725 method as K_P of Ghana aridity gradient.

726 For Ghana, both hypotheses were rejected, as we see a positive correlation between K_P and
727 A_S/A_L (slope = 0.95, R-squared : 0.0598, P-value : 0.0224) and the coefficient of variance is
728 found largest for $K_P * A_S/A_L$.

729 For a global dataset (Mencuccini *et al.*, 2019), there is a negative correlation between K_P and
730 A_S/A_L (slope = -0.638, R-squared: 0.153, P-value : <0.001) which agreed with the hypothesis but
731 the coefficient of variance of $K_P * A_S/A_L$ is still larger than that of either K_P or A_S/A_L .

732 Therefore, hypothesis 15 in Table 1 is rejected in this study. The negative correlation between K_P
733 and A_S/A_L emerge on global scale probably because of confounding effect with other
734 environmental variables. The different patterns emerged at different scale could also result from
735 a Simpson's paradox. For example, the drier sites (KOG) have higher K_P , higher twig density
736 and higher wood density than the wetter sites on site scale (Figure 2), but we also found K_P
737 negatively correlated with twig density on species scale (Figure S5)

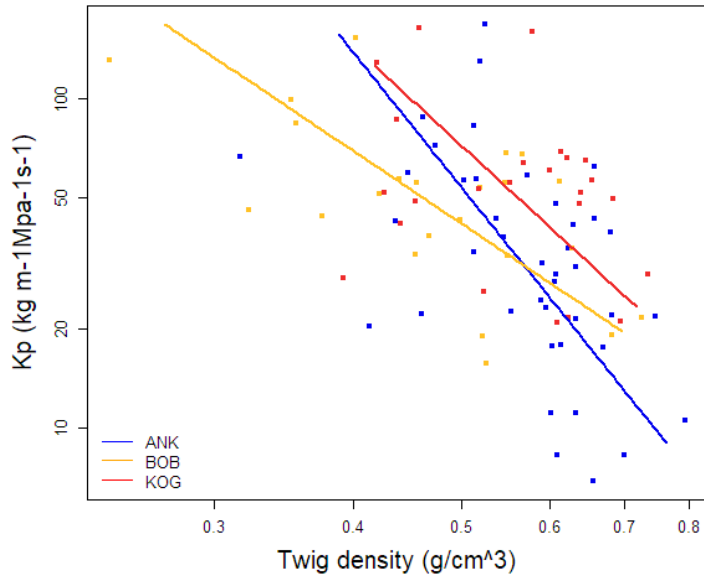
738



739

740 *Figure S 4 The correlation between sapwood to leaf area (AS/AL) and potential sapwood*
741 *hydraulic conductivity (Kp) for Ghana aridity gradient (ANK, BOB and KOG all together) and*
742 *species included in (Mencuccini et al., 2019b). The figure was drawn on species scale (one*
743 *scatter point is one species).*

744



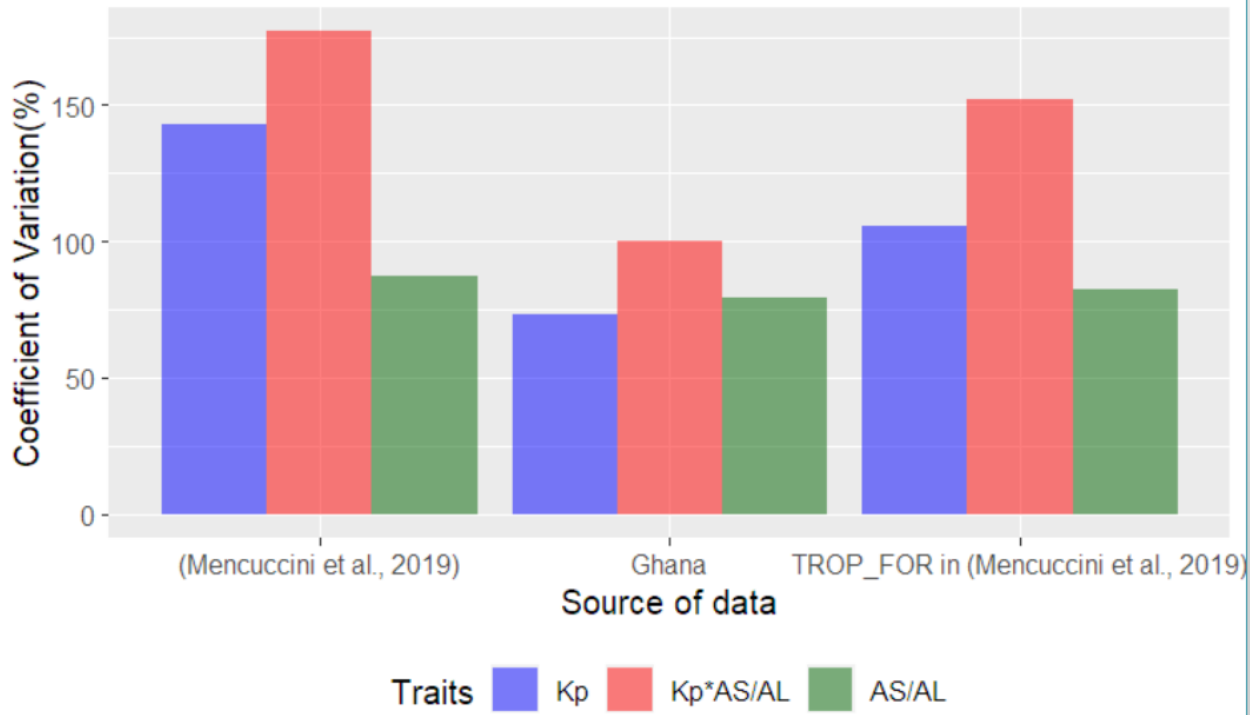
745

746 *Figure S 5 The correlation between twig density (g/cm³) and potential sapwood hydraulic*

747 *conductivity (Kp) for site ANK, BOB and KOG. The figure was drawn on species scale (one scatter*

748 *point is one species).*

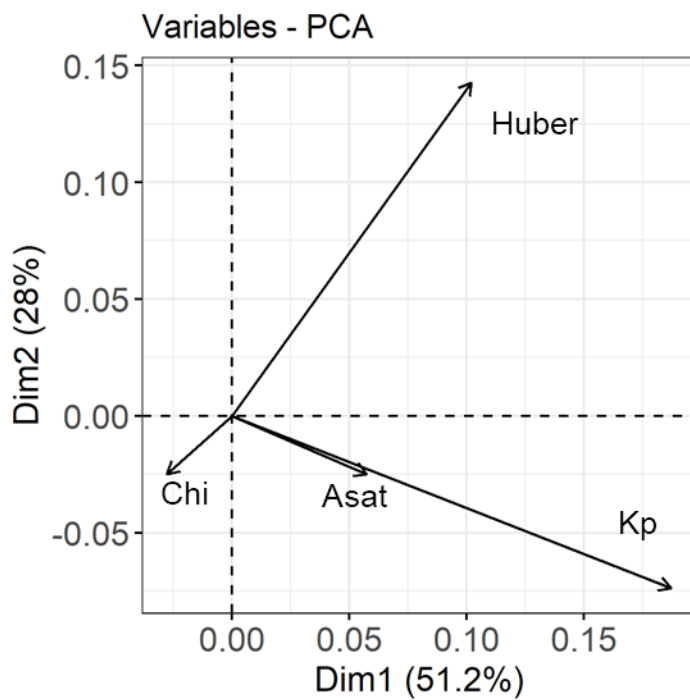
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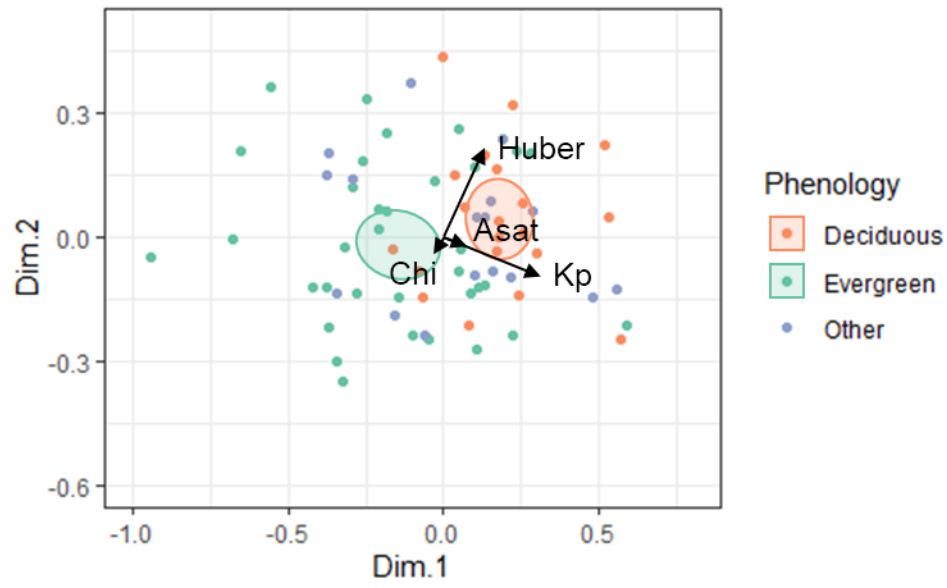
750

751 *Figure S 6 Coefficient of variation (%) for data points shown in figure S6, potential sapwood*

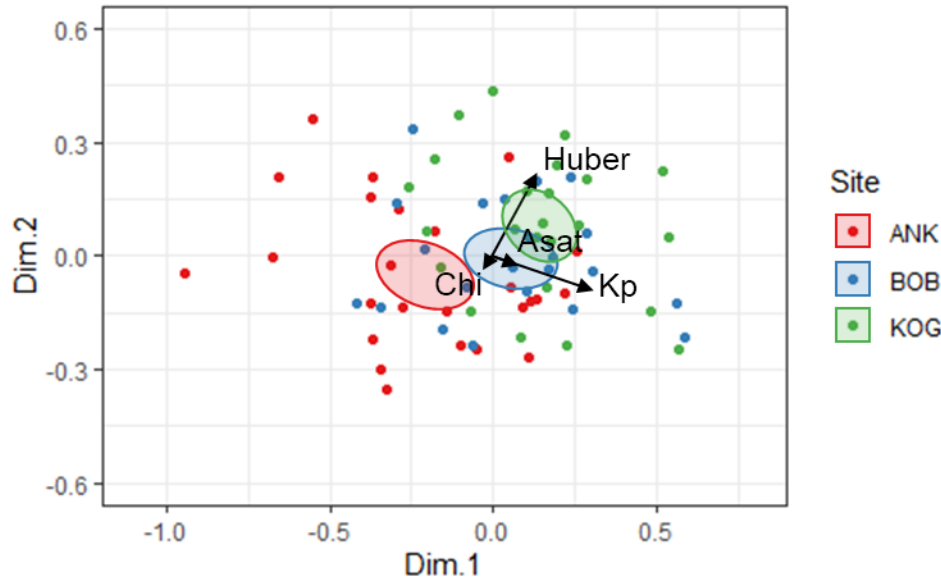
752 *hydraulic conductivity (Kp), sapwood area to leaf area (AS/AL) and the product of Kp and AS/AL*



753

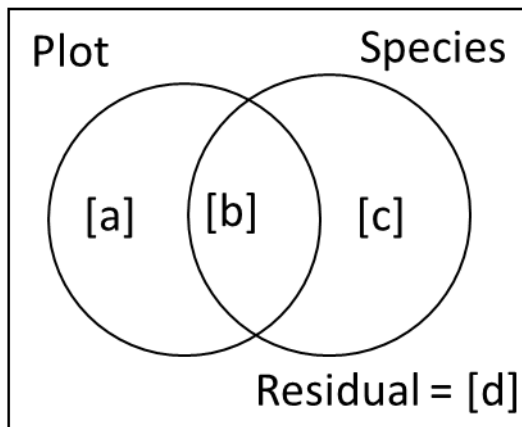


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756 *Figure S 7 Principal components analysis for A_S/A_L , ci/ca , Asat and Kp. Pleaser also see Figure 2*



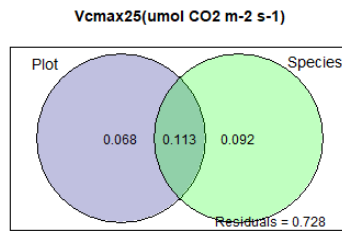
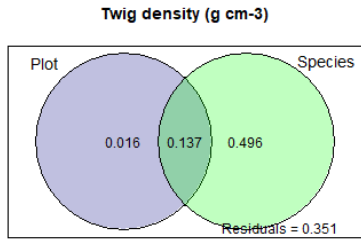
757

758 [a] Variance incurred by changing plot for the same species

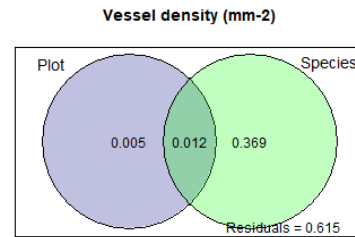
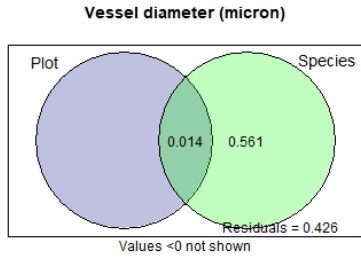
759 [b] Variance incurred by changing plot and changing species

760 [c] Variance incurred by changing Species but not plot (inter-specific variance in a plot)

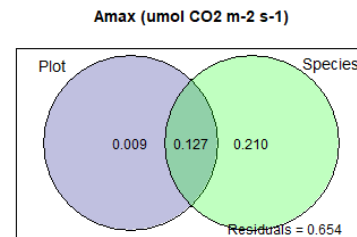
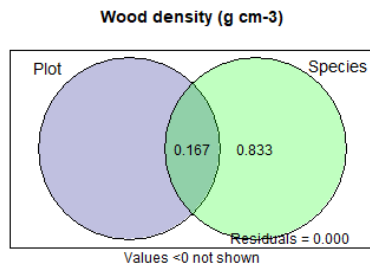
761 [d] Variance incurred by anything else but not changing plot and not changing species. It includes intra-specific
762 variation (e.g., changing measurement leaves) and measurement error because for most of the traits, [d] exist only
763 when multiple measurements were collected for one species. If the changing species induced variance [c] is larger
764 than [d], it is safe to conclude that the variation of trait (overall in Ghana) is dominated by changing species, instead
765 of intra-specific variation



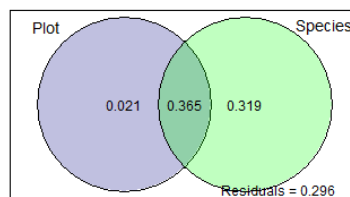
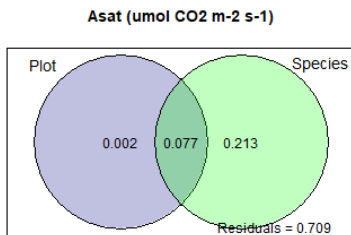
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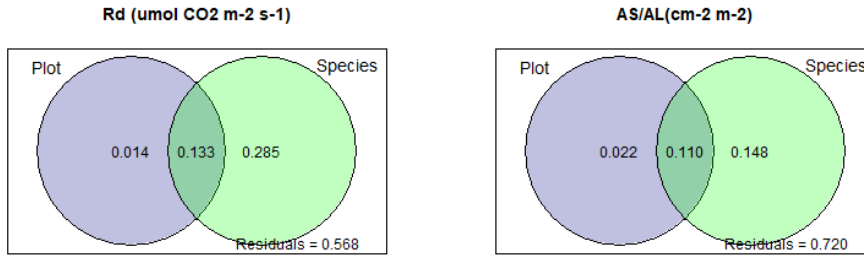
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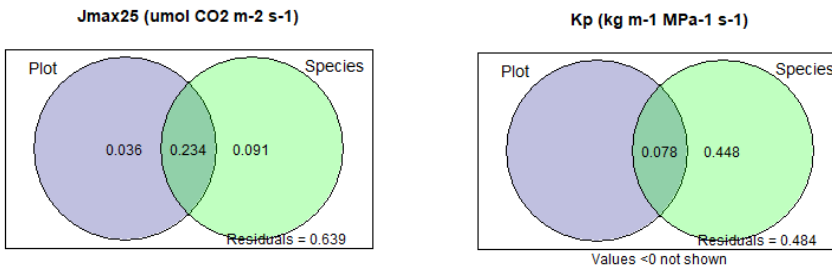
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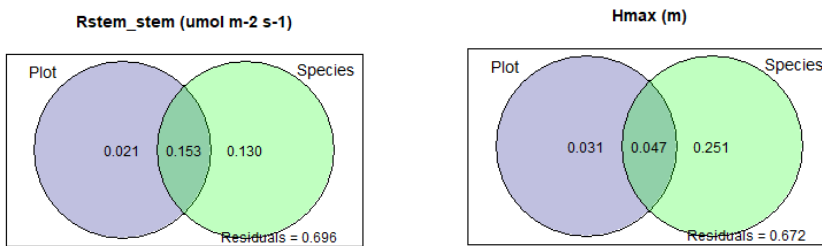
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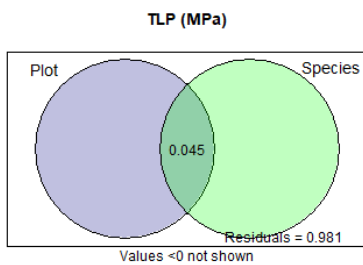
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775 *Figure S 8 Variance partitioning into plot and species. Please see table 1 for definition of traits.*

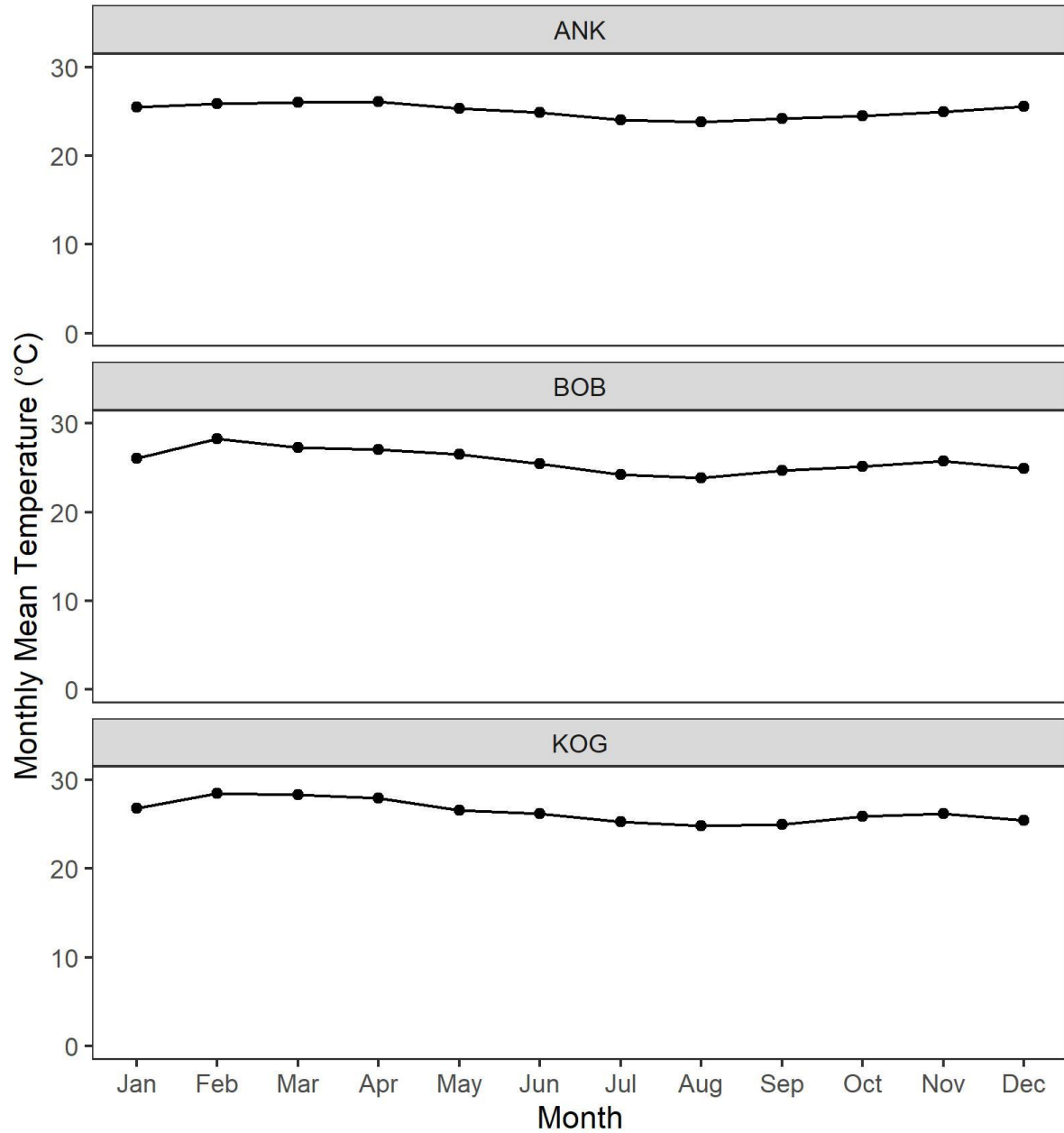
776 *Meanings of each number in the circles are explained in the top panel. Note that Vcmax, LMA*

777 *Narea and Parea from the same plots were published in (Gvozdevaite et al., 2018), and Asat*
778 *Amax LMA, Nmass and Pmass from the same plots were published in (Oliveras et al., 2020).*
779 *Values are not mathematically identical due to (1) different methods of variance partitioning*
780 *and (2) one more year sampling than the previous publications*

781

782 **Appendix 5 Other figures**

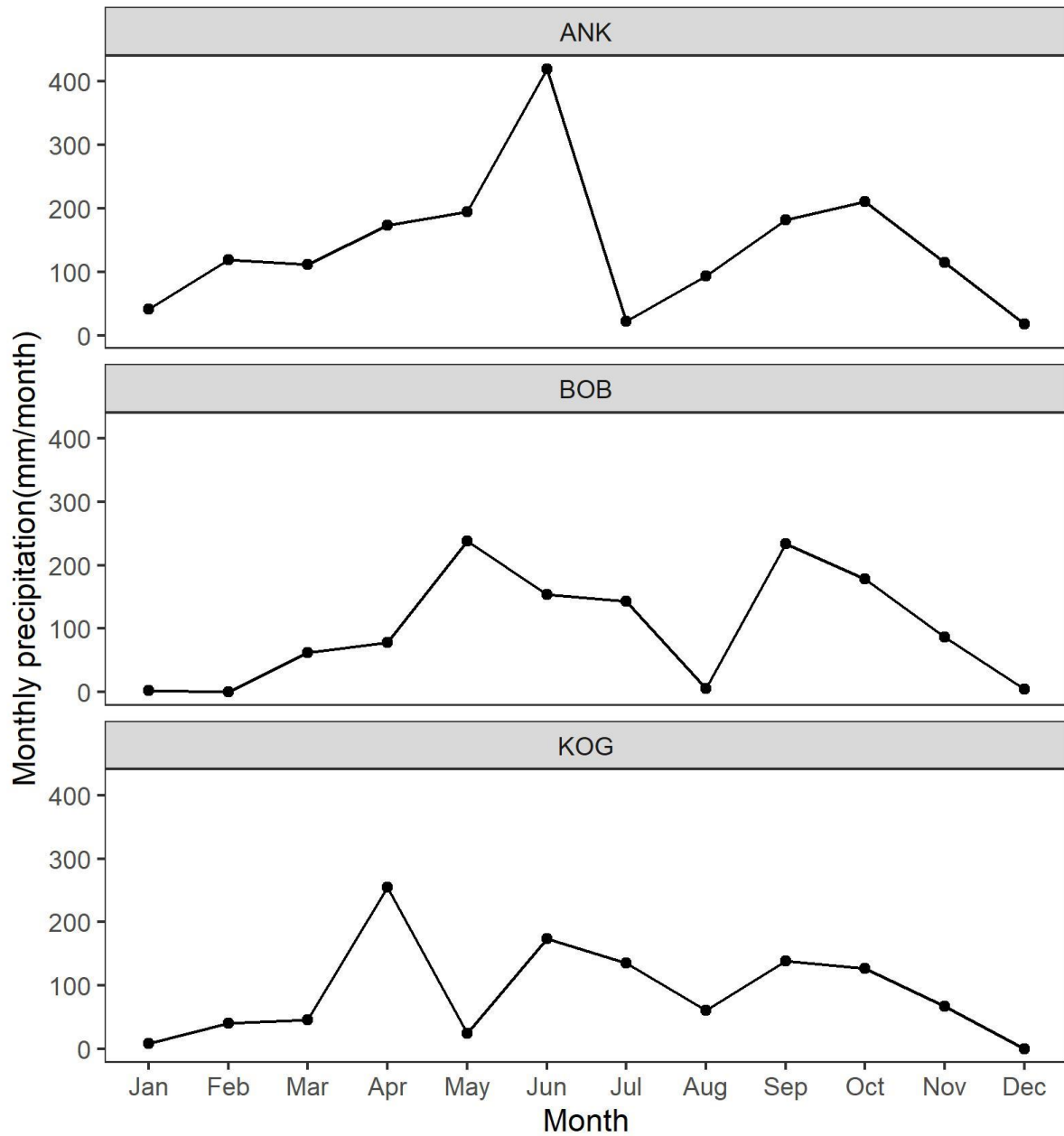
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784

785 *Figure S 9 Monthly mean temperature at study sites ANK (wet site), BOB (mid site) and KOG (dry*
786 *site), measured by in-situ climatological stations.*

787



788

789 *Figure S 10 Monthly precipitation (mm/month) at study sites ANK (wet site, measured in 2011),*
790 *BOB (mid site, measured in 2013) and KOG (dry site, measured in 2014), measured by in-situ*
791 *climatological stations.*

792

793

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795

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